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|-----------------------------|-------------------|----------------------|-------------------------|------------------|--|
| APPLICATION NO. FILING DATE |                   | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |  |
| 09/827,509                  | 04/05/2001        | John Hindman         | ODS-37                  | 6107             |  |
| 1473                        | 7590 03/10/2004   | ×.                   | EXAMINER                |                  |  |
| FISH & NEA                  | VE                | COBURN, CORBETT B    |                         |                  |  |
| 1251 AVENU                  | E OF THE AMERICAS | 3                    |                         |                  |  |
| 50TH FLOOR                  |                   |                      | ART UNIT                | PAPER NUMBER     |  |
| NEW YORK,                   | NY 10020-1105     |                      | 3714                    | 14               |  |
|                             |                   |                      | DATE MAILED: 03/10/2004 | . //             |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

| <del></del>   |   | A U                  | · · · · · · · · · · · · · · · · · · ·                                     | T A            |    |  |  |  |
|---|---|----------------------|---|----------------|----|--|--|--|
|   |   | Applicat             | tion No.  | Applicant(s)   |    |  |  |  |
| Office Action Summary   |   | 09/827,              | 509   | HINDMAN ET AL. |    |  |  |  |
|   |   | Examine              | er  | Art Unit       |    |  |  |  |
| •   |   |                      | 3. Coburn ,   | 3714           |    |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply  |   |                      |   |                |    |  |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |   |                      |   |                |    |  |  |  |
| Status  |   |                      |   |                |    |  |  |  |
| 1)  | Responsive to communication(s) file   | ed on 23 February 20 | 004.  |                |    |  |  |  |
| •   | This action is <b>FINAL</b> . 2b)⊠ This action is non-final.  |                      |   |                |    |  |  |  |
| 3)  | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213. |                      |   |                |    |  |  |  |
| Disposit  | ion of Claims   |                      |   |                |    |  |  |  |
| 4)  Claim(s) 1-62 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration.  5) □ Claim(s) is/are allowed.  6) □ Claim(s) 1-62 is/are rejected.  7) □ Claim(s) is/are objected to.  8) □ Claim(s) are subject to restriction and/or election requirement.   |   |                      |   |                |    |  |  |  |
| Applicat  | ion Papers  |                      |   |                |    |  |  |  |
| 9) 🗌  | The specification is objected to by th  | e Examiner.          |   |                |    |  |  |  |
| 10)⊠ The drawing(s) filed on <u>22 June 2001</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.   |   |                      |   |                |    |  |  |  |
|   | Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).   |                      |   |                |    |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.  |   |                      |   |                |    |  |  |  |
| Priority (  | under 35 U.S.C. § 119   |                      |   |                |    |  |  |  |
| <ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>  |   |                      |   |                |    |  |  |  |
| 2)  Notice 3) Infor   | et(s) se of References Cited (PTO-892) se of Draftsperson's Patent Drawing Review (F mation Disclosure Statement(s) (PTO-1449 or er No(s)/Mail Date   |                      | 4) Interview Summary Paper No(s)/Mail D 5) Notice of Informal F 6) Other: |                | 2) |  |  |  |

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#### **DETAILED ACTION**

## Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- 2. Claims 1-9, 12, 17, 18, 20-22, 30 & 31 are rejected under 35 U.S.C. 102(b) as being anticipate by Gordon (US Patent Number 2,271,508).

Claims 1, 17: Gordon teaches a method for providing the projected effects of wagering on pari-mutuel pools to a user in an interactive wagering system. The user provides input concerning a proposed wager that is associated with at least one pari-mutuel pool, pari-mutuel pool information, and the current odds for the proposed wager. The device calculates information that affects the user's potential winnings (i.e., the revised odds and payout) based on the user input and provides that information to the user. The device takes into account the amount of the proposed bet on the odds, thus providing the effect the proposed wager would have on the pari-mutuel pool to the player. (Col 3, 5-10)

Gordon's device calculates projected odds in real time using an analog computer. Prior to the player entering the proposed bet information, the system displays the current odds. The player enters a proposed bet on a particular horse into the computer via the input devices shown in Figs 2-4. This increases the resistance of the circuit to an amount that represents the total value of the pool *including the proposed bet*. The circuitry then

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balances the resistance across the arms of the circuit to arrive at the projected odds. The projected odds are displayed to the player.

Claims 2, 18: The user input is a wager amount. (Figs 2-4)

Claims 3, 19: The user input comprises selection of a wager type (i.e., win, place, or show). (See Col 6, 13-30 for description of calculation of show odds.)

Claims 4-6, 20-22: Gordon teaches a calculator for figuring the current and projected odds for a particular horse in a particular race. This inherently comprises the selection of at least one horse in a race at a particular racetrack.

Claim 7: The information obtained is pari-mutuel pool information. (Col 1, 39-46)

Claim 8: The device can be used to determine current odds on a wager. (Col 1, 17-26)

Claim 9: The projected effect the proposed wager can have on the pari-mutuel pool is the projected odds for the proposed wager.

Claims 12, 31: The projected effects are displayed to the user. (Col 3, 20-26)

Claim 30: Gordon's device is a computer.

#### Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. Claims 10, 11, 13-16, 23-39 & 32-62 are rejected under 35 U.S.C. 103(a) as being unpatentable over Gordon as applied to claim 1, 17 above, and further in view of Mindes (US Patent Number 5,573,244).

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Claim 10, 23: Gordon teaches the invention substantially as claimed, but does not teach a telephone as the user interface. Mindes teaches providing input to a similar system via telephone. (Col 6, 29-32) Mindes describes the use of a digital electronic computer to calculate odds information. Use of a digital computer instead of the analog computer described in Gordon requires an appropriate method of data input. Furthermore, the telephone is a ubiquitous device – virtually every household has one. This allows access to the system by more people, thus increasing the possible profits. It would have been obvious to one of ordinary skill in the art at the time of the invention to have a telephone as a part of the user interface in order to provide an appropriate input device for a digital computer to replace the analog computer described in Gordon while ensuring that most people have access to the system, thus increasing profit potential.

Claims 11, 14, 16, 24, 25, 27: Gordon teaches showing the projected effect (i.e., announcing or displaying the projected effect) to the user.

Claims 13, 26: Mindes teaches a user interface that includes a set top box. (322)

Claim 15: Mindes teaches a user interface that includes a computer. (302)

Claims 28: Mindes teaches displaying information about the game in windows. (Col 6, 33-38) While not disclosed in connection with a set top box, these windows serve to separate the information concerning different races, thus reducing player confusion. It would have been obvious to one of ordinary skill in the art at the time of the invention to have displayed the projected effects information in a window on a television in order to separate the information concerning different races, thus reducing player confusion.

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Claim 29: Gordon teaches the invention substantially as claimed. Gordon teaches displaying both the current odds and the projected odds. The current odds are displayed prior to entering the proposed bet information and the projected odds are displayed by the calculator after entering the proposed bet information. Gordon does not teach displaying the odds in windows on a computer screen and toggling between current and projected odds screens. Mindes teaches displaying information about the game, including odds, in windows. (Col 6, 33-38) Mindes teaches that the window may occupy the entire screen. It is well known to toggle between windows that fill the entire screen.

Claims 32-62: Claims 32-62 are merely a restatement of claims 1-31 specifying electronic circuitry to perform the functions described therein. Gordon teaches the use of electronic circuitry to perform the input, calculation, or display functions, but does not teach use of a digital computer. Mindes teaches using an electronic digital computer (300) to perform such functions. Electronic digital computers are extremely well known to the art. They are used to automate manual functions involving input, calculation, and display of data. They are easier to program than analog computers and are more flexible. It would have been obvious to one of ordinary skill in the art at the time of the invention to have used an electronic digital computer to perform the input, calculation and display functions described in order to replace the analog computer described in Gordon with a modern device that is easier to program and more flexible.

### Response to Arguments

5. Applicant's arguments, see Paper 13, filed 23 February 2004, with respect to the rejection(s) of claim(s) 1-62 under 35 USC §102 & §103 have been fully considered and are

persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of Gordon or Gordon in view of Mindes as explained above.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Corbett B. Coburn whose telephone number is (703) 305-3319. The examiner can normally be reached on 8-5:30, Monday-Friday, alternate Fridays off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tom Hughes can be reached on (703) 308-1806. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

chc

JESSICA HARRISON PRIMARY EXAMINER